



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Commissioner of Patents and Trademarks  
Washington D.C. 20231

Date: December 18, 1997  
Docket No. YO994-065XX  
Prior Art Unit: 2515  
Prior Ex. J. Dudek

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GROUP 2501

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This is a request for filing a ☒ continuation ☐ divisional  
application under ☒ 37 C.F.R. 1.60  
☐ 37 C.F.R. 1.62 of pending prior application  
Serial No. 08/803,210 filed on February 21, 1997 of E. Colgan et al. for "A  
SELECTIVE SPATIAL LIGHT MODULATOR ARRAY".

1. ☐ As last amended, the title has been changed to \_\_\_\_\_
2. ☐ As last amended, the name of applicant has been changed to \_\_\_\_\_
3. ☒ Enclosed is a copy of the prior application, including the  
oath or declaration. Applicant's undersigned attorney hereby  
states that these application papers comprise a true copy of  
the prior application as filed.
4. ☒ The filing fee is calculated below:

Claims as filed in this application	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	15	-20 = 0	X \$22	\$ 790.00
Independent Claims	2	- 3 = 0	X \$82	
Multiple Dependent Claims, if any (\$270)				
Total Filing Fee				\$ 790.00

5. ☒ Charge any fees which may be required, except for the Issue  
Fee, or credit any overpayment to Deposit Account No. 09-0468.
6. ☐ Cancel in this application original Claims \_\_\_\_\_ of the  
prior application before calculating the filing fee.
7. ☒ Amend the specification by inserting before the first line the  
sentence: - This is a ☒ continuation ☐ division of  
application Serial No. 08/803,210, filed February 21, 1997.
8. ☐ Priority of application Serial No. \_\_\_\_\_, filed on  
\_\_\_\_\_ in \_\_\_\_\_  
is claimed under 35 U.S.C. 119.
9. ☐ The certified copy of the priority application has been filed  
in prior application Serial No. \_\_\_\_\_, filed  
\_\_\_\_\_.

10. ☐ An appointment of associates is enclosed.
11. ☒ Address all future communications to Daniel P. Morris,  
IBM Corporation, Intellectual Property Law Dept.,  
P.O. Box 218, Yorktown Heights, N.Y. 10598.
12. ☐ A preliminary amendment to this application is enclosed.
13. ☐ Enter in this application the amendment under 37 C.F.R. 1.116  
which was unentered in the prior application.

12/24/1997 GRAYNE  
01 50431

IBM Corporation  
Intellectual Property Law  
P.O. Box 218  
Yorktown Heights, NY 10598

Daniel P. Morris  
Reg. No. 32,053  
(914) 945-3217

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01/07/1998 GRAYNE 00000086 090468 790.00 CH  
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Atty. Docket No. YO994-065XX

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: E. Colgan et al.

Serial No.:

Group Art Unit:

Filed: (Herewith)

Examiner:

For: A REFLECTIVE SPATIAL LIGHT MODULATOR ARRAY

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

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EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EM602152186US

Date of Deposit: December 18, 1997

I hereby certify that the following attached paper or fee

Request for a Continuation Application Under 37 CFR 1.60  
Copy of original patent application and 9 sheets of drawings  
Copy of Declaration and Power of Attorney  
Acknowledgement Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Sandra M. Emma

(Typed or printed name of person mailing paper or fee)

*Sandra M. Emma*  
(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g., complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

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